

SMALL ENTITY  
JOINT INVENTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. §1.9(f) and §1.27 (b)) – JOINT INVENTOR

As a below-named inventor, I hereby declare that I qualify as an joint inventor as defined in 37 C.F.R. §1.9(c) for the purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the U.S. Patent and Trademark Office with regard to the invention entitled

PRODUCTS COMPRISING CORN OIL AND CORN MEAL  
OBTAINED FROM HIGH OIL CORN

☒ in the application filed herewith.

☐ in U.S. application Serial No. \_\_\_\_\_ filed on August 10, 2000.

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 C.F.R. §1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a non-profit organization under 37 C.F.R. §1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under any obligation under contract or law to assign, grant, convey, or license any rights in the invention is identified below:

☐ no such person, concern or organization exists.

☒ any such person, concern or organization is identified below, if applicable:

Full Name RENESSEN LLC

Address 3000 Lakeside Dr., Bannockburn, IL 60015 USA

☐ individual ☒ small business concern

☐ nonprofit organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate pursuant to 37 C.F.R. §1.28(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Printed Name of Inventor: James Francis Ulrich

Date: \_\_\_\_\_  
James Francis Ulrich

Printed Name of Inventor: Neal Torrey Jakel

Date: \_\_\_\_\_  
Neal Torrey Jakel

Printed Name of Inventor: Daniel Jeffrey Dyer

Date: \_\_\_\_\_  
Daniel Jeffrey Dyer

Printed Name of Inventor: Troy Thomas Lohrmann

Date: \_\_\_\_\_  
Troy Thomas Lohrmann

SMALL ENTITY  
SMALL BUSINESS CONCERN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION CLAIMING SMALL ENTITY STATUS  
(37 C.F.R. §1.9(f) and §1.27 (b)) - SMALL BUSINESS CONCERN

I hereby declare that I am:

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Full Name RENESSEN LLC  
Address 3000 Lakeside Dr.  
Bannockburn, IL 60015

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. § 121.3-18, and reproduced in 37 C.F.R. § 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is in the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

PRODUCTS COMPRISING CORN OIL AND CORN MEAL  
OBTAINED FROM HIGH OIL CORN

X in the application filed herewith.

— in U.S. application Serial No. \_\_\_\_\_ filed August 10, 2000.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. § 1.9(d) or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

FULL NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Janis L. Harwell

TITLE OF PERSON IF OTHER THAN OWNER Vice-President, General Counsel

ADDRESS OF PERSON SIGNING Renessen LLC, 3000 Lakeside Dr., Bannockburn, IL 60015

**SIGNATURE:**

DATE:

# THE UNIVERSITY OF CHICAGO

DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled

**PRODUCTS COMPRISING CORN OIL AND CORN MEAL  
OBTAINED FROM HIGH OIL CORN**

the specification of which was filed on August 10, 2000 as application serial no. \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to in this declaration.

I hereby appoint Donald Silvert, Ph.D. (Reg. No. 37,552) and Rick Matos, Ph.D. (Reg. No. 40,082) my Attorney and Agent to prosecute the above-identified patent application and to transact all business in the Patent and Trademark Office connected therewith

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to the patentability of any claim in accordance with Title 37, Code of Federal Regulations, §1.56, and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent.

I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Country</u>	<u>Application No.</u>	<u>Filing Date</u> <u>(day, month, year)</u>
N/A	N/A	N/A

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>U.S. Serial No.</u>	<u>U.S. Filing Date</u>	<u>Status</u>
09/249,280	02/11/99	Pending

I request that all correspondence be sent to:

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Plano, TX 75025-0647  
(972)747-7373.  
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innovarllc@mindspring.com

Direct all telephone calls to:

Send all facsimiles to:

Send all emails to:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issued thereon.

Full name of first inventor: James Francis Ulrich  
Residence: 11 East Louis Ave., Lake Forest, IL 60045  
Citizenship: U.S.A.

Post Office Address: Renessen LLC, 3000 Lakeside Dr., Bannockburn, IL 60015

Date: \_\_\_\_\_

\_\_\_\_\_  
James Francis Ulrich

Full name of second inventor: Neal Torrey Jakel

Residence: 530 E. Burr Oak Dr., Lake Zurich, IL 60047

Citizenship: U.S.A.

Post Office Address: Renessen LLC, 3000 Lakeside Dr., Bannockburn, IL 60015

Date: \_\_\_\_\_

\_\_\_\_\_  
Neal Torrey Jakel

Full name of third inventor: Daniel Jeffrey Dyer

Residence: 22874 Ridgewood Lane, Kildeer, IL 60047

Citizenship: U.S.A.

Post Office Address: Renessen LLC, 3000 Lakeside Dr., Bannockburn, IL 60015

Date: \_\_\_\_\_

\_\_\_\_\_  
Daniel Jeffrey Dyer

Full name of fourth inventor: Troy Thomas Lohrmann

Residence: 828 Longwood Dr., Lake Villa, IL 60036

Citizenship: U.S.A.

Post Office Address: Renessen LLC, 3000 Lakeside Dr., Bannockburn, IL 60015

Date: \_\_\_\_\_

\_\_\_\_\_  
Troy Thomas Lohrmann